

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
135305-1

In Re Application Of: Shankarling et al.

Serial No.

10/678,251

Filing Date

10/3/2003

Examiner

Group Art Unit

1625

Title: **THERMALLY STABLE ANTHRAPHYRIDONE COMPOSITIONS**

Address to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

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**THERMALLY STABLE ANTHRAPHYRIDONE COMPOSITIONS****Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 07-0862 as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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Dated: January 12, 2004

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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.									
EXAMINER					DATE CONSIDERED				
M. V. Kazanikov et al., "The Chemistry of Petrocyclic Compounds", Khimiya Geterotsiklicheskikh Soedinenii (1972), (12), page numbers 1651-5.									
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)									
REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO	Translation	
	GB 1,047,089	11/2/1966	Great Britain				✓		
	GB 1,047,297	11/2/1966	Great Britain				✓		
	JP 53-68234	6/17/1978	Japan				✓		
	JP 49-75652	7/22/1974	Japan				✓		
	JP 57-10149	1/29/1982	Japan				✓		
FOREIGN PATENT DOCUMENTS									
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE		
		4,381,185	4/26/1983	Swanson et al.					
		4,386,206	5/31/1983	Wingard, Jr. et al.					
		5,132,437	7/21/1992	Aslam et al.					
		US 2003/0051633 A1	3/20/2003	Blease et al.					
U.S. PATENT DOCUMENTS									
Shakarling et al.									
10/03/2003									
1625									
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Docket Number (Optional) 135305-1									
Applicant(s) Shakarling et al.									
Filing Date 10/03/2003									
Group Art Unit									

INFORMATION DISCLOSURE CITATION  
(Use several sheets if necessary)

